

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

#### FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

14/1859

### OMB APPROVAL

OMB Number: 3235-0076 Expires: April 30, 2008 Estimated average burden hours per response . . . 16.00

SEC USE ONLY										
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lame of Offering ([]] check if this Diversified Trends Enhanced Fixed Income	is an amendment and name has changed, and Fund, L.P. (the "Issuer")	d indicate change.)	
iling Under (Check box(es) that apply):	[ ] Rule 504	Rule 506 [ ] Section	4(6) [] ULOE
ype of Filing: [X] New Filing	[ ] Amendment		
	A BASIC IDENTIFICATION DATA		
inter the information requested about the issu	er		PROCESSED
lame of Issuer ([] check if this Diversified Trends Enhanced Fixed Income	is an amendment and name has changed, and Fund, L.P.	- ,	SEP 1 1 2007
Address of Executive Offices (Numbe Carnegle Hall Tower, 152 West 57th Street,	r and Street, City, State, Zip Code) 25th Floor, New York, New York 10019	Telephone Number (212) 974-7631	(Including Area Composition) FINANCIAL
Address of Principal Business Operations (Nur if different from Executive Offices) Same As A		Telephone Number Same As Above	(Including Area Code)
Brief Description of Business nvesting and trading securities and/or othe	er financial instruments.		1 E T THI TOWN TO THE OCCUPANT OF THE CONTROL OF TH
ype of Business Organization Corporation	[X] limited partnership, already formed	[ ] other (plea	
] business trust	[ ] limited partnership, to be formed		07076826
Actual or Estimated Date of Incorporation or O	rganization: Month/Year 04/2006 [X] A	Actual [ ] Estima	tod
urisdiction of Incorporation or Organization:	(Enter two-letter U.S. Postal Service abbrevia CN for Canada; FN for other foreign jurisdicti	ation for State:	

#### **ENERAL INSTRUCTIONS**

deral:

ho Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

hen To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission EC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United ates registered or certified mail to that address.

here to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

pies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually pined copy or bear typed or printed signatures.

ormation Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information quested in Part C, and any material changes from the information previously supplied in Parts A and B and the Appendix need not be filed with the SEC.

ing Fee: There is no federal filing fee.

ate:

is notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this m. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a each as a precondition to the claim for exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law, is Appendix to the notice constitutes a part of this notice and must be completed.

## ATTENTION

-ailure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

stential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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•		A BASIC IDENTIFICATION DATA	//\V!

- 2. Enter the information requested for the following:
  - Each promoter of the issuer, if the issuer has been organized within the past five years;
  - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
  - Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and

• Each general and managing partner of partnership issuers.

- 0 01		i.i.		
Check Box(es) that Apply: [X] Promoter	[ ] Beneficial Owner	[ ] Executive Officer	[ ] Director	[X] General and/or Managing Partner
Full Name (Last name first, if individual) James Alpha, LLC (the "General Partner"	)			
Business or Residence Address (Num Carnegie Hall Tower, 152 West 57th Stree New York, New York 10019	ber and Street, City, State, Z et, 25th Floor	ip Code)		
Check Box(es) that Apply: [ ] Promoter	[ ] Beneficial Owner	[X] Executive Officer	[ ] Director	[ ] General and/or Managing Partner
full Name (Last name first, if individual) Greene, Kevin R.				
Business or Residence Address (Num Carnegie Hall Tower, 152 West 57th Stree New York, New York 10019	ber and Street, City, State, Z et, 25th Floor	ip Code)		
Check Box(es) that Apply: [ ] Promoter	[ ] Beneficial Owner	[ ] Executive Officer	[ ] Director	[ ] General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Num	ber and Street, City, State, Z	ip Code)		
Check Box(es) that Apply: [ ] Promoter	[ ] Beneficial Owner	[ ] Executive Officer	[ ] Director	[ ] General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Num	ber and Street, City, State, Z	ip Code)		
Check Box(es) that Apply: [ ] Promoter	[ ] Beneficial Owner	[ ] Executive Officer	[] Director	[ ] General and/or Managing Partner
Full Name (Last name first, if individual)				
Business or Residence Address (Num	ber and Street, City, State, 2	(ip Code)		
Check Box(es) that Apply: [ ] Promoter	[ ] Beneficial Owner	[ ] Executive Officer	[ ] Director	[ ] General and/or Managing Partner
Full Name (Last name first, if individual)	*****			
Business or Residence Address (Num	ber and Street, City, State, Z	Lip Code)		

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1.	C. OFFERING PRICE NUMBERIOF INVESTORS EXPENSES AND  Enter the aggregate offering price of securities included in this offering and the total amount	-	E OF PROCEED	<b>5</b>	<b>建筑建筑</b>
	already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box   and indicate the columns below the amounts of the securities offered for exchange and already exchanged.				
	Type of Security		Aggregate Offering Price		Amount Already Sold
	Debt	\$	<u>o</u>	\$	<u>o</u>
	Equity:	\$	<u>o</u>	\$	<u>o</u>
	☐ Common ☐ Preferred		•		
	Convertible Securities (including warrants):		ឬ 1.000.000.000(a)	\$	27,425,00 <u>0</u>
	Other (Specify: )	\$	<u></u>	\$	<u></u>
	Total	\$	1,000,000,000(a)	\$	<u>27,425,000</u>
2.	Answer also in Appendix, Column 3, if filing under ULOE.				
۷.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."				
			Number Investors		Aggregate Dollar Amount of Purchases
	Accredited Investors		<u>7</u>	\$	<u>27,425,000</u>
	Non-accredited Investors		<u>o</u>	\$	<u>o</u>
	Total (for filings under Rule 504 only)		N/A	\$	N/A
	Answer also in Appendix, Column 4, if filing under ULOE.				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C – Question 1.				•
	Type of offering		Type of Security		Dollar Amount Sold
	Rule 505		<u>N/A</u>	\$	<u>0</u>
	Regulation A Rule 504		<u>N/A</u> N/A	\$	<u>0</u> 0 0
	Total		N/A	\$	<u>0</u>
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.				_
	Transfer Agent's Fees		⊠	\$	<u> 0</u>
	Printing and Engraving Costs		<b>(X)</b>	\$	<u>2,500</u>
	Legal Fees		X   X	\$	<u>35,000</u> <u>7,500</u>
	Engineering Fees		X	\$	<u>0</u>
	Sales Commissions (specify finders' fees separately)		(X) (X)	\$ e	<u>0</u> 5,000
	Other Expenses (identify filing fees )		<b>(X)</b>	\$	<u>50,000</u>

ı) Open-ended fund; estimated maximum aggregate offering amount.

# C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

- 4. b. Enter the difference between the aggregate offering price given in response to Part C Question 1 and total expenses furnished in response to Part C Question 4.a. This difference is the "adjusted gross proceeds to the issuer."
- \$ 999,950,000
- 5. Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed to be used for each of the purposes below. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjustment gross proceeds to the issuer set forth in response to Part C Question 4.b above.

		Officer Directors Affiliate	's, s, &		ı	Payments to Others
Salaries and fees	X	\$	<u>o</u>	X	\$	<u>0</u>
Purchase of real estate	X	\$	<u>o</u>	X	\$	<u>0</u>
Purchase, rental or leasing and installation of machinery and equipment	Ø	\$	<u>0</u>	X	\$	<u>o</u>
Construction or leasing of plant buildings and facilities	X	\$	<u>o</u>	図	\$	<u>o</u>
Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)	X	\$	<u>o</u>	Ø	\$	<u>o</u>
Repayment of indebtedness	X	\$	<u>o</u>	X	\$	<u>o</u>
Working capital	X	\$	<u>o</u>	X	\$	<u>o</u>
Other (specify): Portfolio Investments	X	\$	<u>o</u>	X	\$	999,950,000
Column Totals	X	\$	<u>o</u>	X	\$	999,950,000
Total Payments Listed (column totals added)	Ø		\$ <u>99</u>	9,95	0,00	<u>00</u>

# 🗸 D. FEDERAL SIGNATURE 🚈 🥎

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

Issuer (Print or Type)

**Diversified Trends Enhanced Fixed Income** 

Fund, L.P.

Name (Print or Type)
Kevin R. Greene

Signature

Date

Payments to

8/31/07

Title of Signer (Print or Type)

**Authorized Person** 

END

## **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)